Training Course on Navigating Regulatory Compliance and Ethical Dilemmas

Professional Development Training Course Outline

Category	CEOs and Directors	**Duration**	10 Days
Base Fee	$3,000 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

In today's rapidly evolving global landscape, organizations face unprecedented challenges in maintaining **regulatory adherence** and upholding **ethical integrity**. Training Course on Navigating Regulatory Compliance and Ethical Dilemmas is meticulously designed to equip professionals with the essential knowledge and practical skills to navigate the intricate web of compliance requirements and resolve complex ethical dilemmas effectively. We delve into **cutting-edge RegTech solutions**, **AI governance frameworks**, and **ESG compliance standards**, ensuring participants are prepared for the demands of the modern business environment.

This program goes beyond theoretical understanding, fostering a proactive mindset toward **risk mitigation** and **ethical decision-making**. Participants will gain actionable insights into **data privacy regulations** like GDPR and CCPA, develop strategies for **cybersecurity compliance**, and learn to cultivate a robust **culture of integrity** within their organizations. By integrating real-world case studies and interactive problem-solving, this course empowers individuals to become champions of responsible business practices, driving both compliance excellence and sustainable growth.

Programme Curriculum

Training Course on Navigating Regulatory Compliance and Ethical Dilemmas

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Course Objectives

1. Master **Global Regulatory Frameworks** and their evolving impact on business operations.
2. Implement robust **AI Governance** policies to manage ethical and legal risks associated with artificial intelligence.
3. Develop effective strategies for **Data Privacy Compliance** (GDPR, CCPA, etc.) and data protection.
4. Navigate the complexities of **ESG Compliance** and integrate sustainability into business practices.
5. Strengthen **Cybersecurity Compliance** measures to mitigate evolving cyber threats and data breaches.
6. Understand and apply **Anti-Money Laundering (AML)** and **Know Your Customer (KYC)** regulations.
7. Cultivate a strong **Ethical Culture** and promote integrity across all organizational levels.
8. Identify and resolve **Ethical Dilemmas** using structured decision-making frameworks.
9. Leverage **RegTech Solutions** and automation for enhanced compliance efficiency.
10. Conduct comprehensive **Risk Assessments** and develop effective **Compliance Risk Management** strategies.
11. Ensure **Supply Chain Compliance** and ethical sourcing practices.
12. Adhere to **Anti-Bribery and Corruption (ABC)** laws and implement effective internal controls.
13. Develop effective **Whistleblowing Protection** policies and foster a speak-up culture.

Organizational Benefits

- Minimize exposure to hefty fines, penalties, and costly litigation.
- Build a strong public image and foster trust with stakeholders, customers, and investors.
- Streamline processes, reduce errors, and foster a more organized and accountable workforce.
- Implement robust internal controls and foster a culture of integrity and transparency.
- Differentiate the organization in a marketplace where ethical conduct and compliance are increasingly valued.
- Empower employees with knowledge and confidence, leading to higher job satisfaction and a more ethical work environment.
- Shift from reactive to proactive compliance, anticipating and addressing potential issues before they escalate.

Target Audience

1. Compliance Officers and Managers
2. Legal Professionals and In-House Counsel
3. Risk Management Professionals
4. Internal Auditors

5. Senior Leadership and Executives
6. Human Resources Professionals
7. Operations Managers
8. IT and Cybersecurity Teams

Course Outline

Module 1: Foundations of Regulatory Compliance

- Understanding the **Global Regulatory Landscape** and its dynamism.
- Key regulatory bodies and their enforcement powers.
- The evolution of **Compliance Frameworks** (e.g., ISO 19600, COSO).
- Importance of a **Risk-Based Approach** to compliance.
- Introduction to the cost of non-compliance.
- **Case Study:** The Volkswagen Emissions Scandal – Analyzing the massive financial and reputational fallout of non-compliance.

Module 2: Ethical Leadership and Corporate Culture

- Defining **Organizational Ethics** and their impact.
- Role of leadership in fostering an **Ethical Culture**.
- Developing and communicating a strong **Code of Conduct**.
- Promoting a "speak-up" culture and psychological safety.
- Integrating ethics into performance reviews and incentives.
- **Case Study:** Enron Scandal – Examining the systemic ethical failures and the breakdown of corporate governance.

Module 3: Data Privacy Regulations (GDPR, CCPA & Beyond)

- Deep dive into **GDPR Principles** and compliance requirements.
- Understanding the **California Consumer Privacy Act (CCPA)**.
- Emerging global data privacy laws and their extraterritorial reach.
- Best practices for **Data Protection** and privacy by design.
- Managing data breaches and incident response.
- **Case Study:** Equifax Data Breach – Analyzing the impact of a large-scale data breach and regulatory response.

Module 4: AI Governance and Ethical AI

- Ethical considerations in **Artificial Intelligence** development and deployment.
- Developing **AI Governance Frameworks** for accountability and transparency.
- Addressing algorithmic bias and fairness in AI systems.
- Legal and regulatory approaches to AI
- Responsible AI innovation and data ethics.
- **Case Study:** Amazon's AI Recruiting Tool Bias – Exploring how AI can perpetuate bias and the need for ethical design.

Module 5: Anti-Money Laundering (AML) & KYC

- Fundamentals of **AML Regulations** and their purpose.
- Implementing robust **Know Your Customer (KYC)** procedures.
- Detecting and reporting suspicious activities (SARs).
- Global AML standards (FATF recommendations).
- Challenges of new technologies (e.g., crypto assets) in AML.

- **Case Study:** Danske Bank Money Laundering Scandal – Unpacking a major financial crime and its international implications.

Module 6: Cybersecurity Compliance & Data Security

- Key cybersecurity regulations and standards
- Implementing **Information Security Management Systems (ISMS)**.
- Best practices for **Cyber Risk Management**.
- Employee training on **Cyber Hygiene** and phishing awareness.
- Incident response planning and recovery.
- **Case Study:** Colonial Pipeline Cyberattack – Understanding the impact of critical infrastructure cyberattacks and the importance of resilience.

Module 7: ESG Compliance and Sustainable Business Practices

- Understanding **Environmental, Social, and Governance (ESG)** factors.
- Evolving **ESG Reporting Standards** and disclosures.
- Integrating sustainability into business strategy and operations.
- Addressing **Greenwashing** and ensuring genuine ESG commitment.
- The role of ethical sourcing and supply chain sustainability.
- **Case Study:** H&M and Labor Practices – Examining accusations of unsustainable and unethical labor practices in global supply chains.

Module 8: Anti-Bribery & Corruption (ABC)

- Overview of global **Anti-Bribery Laws**
- Developing effective **ABC Compliance Programs**.
- Managing third-party risks and due diligence.
- Identifying red flags for bribery and corruption.
- Whistleblower protection in ABC contexts.
- **Case Study:** Siemens Bribery Scandal – Analyzing the pervasive nature of corporate bribery and its consequences.

Module 9: Whistleblowing & Internal Investigations

- Importance of **Whistleblower Protection** and safe reporting channels.
- Legal protections for whistleblowers.
- Conducting effective **Internal Investigations**.
- Managing conflicts of interest during investigations.
- Remediation and corrective actions.
- **Case Study:** Wells Fargo Fictitious Accounts Scandal – Highlighting the role of whistleblowers in uncovering misconduct.

Module 10: Ethical Decision-Making Frameworks

- Introduction to ethical theories
- Practical frameworks for resolving **Ethical Dilemmas**.
- The role of values and principles in decision-making.
- Navigating conflicts of interest and loyalty.
- Case studies in ethical problem-solving.
- **Case Study:** The Trolley Problem (simplified for business context) – Applying ethical frameworks to a classic dilemma.

Module 11: Compliance Program Management & Auditing

- Designing and implementing an effective **Compliance Management System**.
- Developing **Compliance Policies and Procedures**.
- Conducting **Internal Compliance Audits**.
- Preparing for external regulatory audits.
- Continuous improvement and monitoring of compliance programs.
- **Case Study:** A company successfully achieving ISO 19600 certification – Demonstrating best practices in compliance program development.

Module 12: Supply Chain Compliance & Third-Party Risk

- Mapping and assessing **Supply Chain Risks**.
- Due diligence for **Third-Party Vendors**.
- Ensuring ethical labor practices in the supply chain.
- Combating forced labor and human trafficking.
- Contractual clauses for compliance and ethics.
- **Case Study:** Nike's Child Labor Controversy (historical context) – Illustrating the challenges and consequences of supply chain non-compliance.

Module 13: Crisis Management & Reputation Protection

- Developing a **Crisis Communication Plan** for compliance failures.
- Managing media and stakeholder relations during a crisis.
- Strategies for **Reputation Management**.
- Learning from past compliance failures.
- Building resilience in the face of adverse events.
- **Case Study:** Johnson & Johnson Tylenol Tampering – A classic example of effective crisis management and prioritizing ethical responsibility.

Module 14: Emerging Technologies and Future Compliance Trends

- The impact of **Blockchain** on compliance and transparency.
- **Quantum Computing** and its implications for data security.
- Regulatory challenges of the **Metaverse** and virtual economies.
- The evolving landscape of **Digital Assets** and cryptocurrencies.
- Anticipating and adapting to future regulatory shifts.
- **Case Study:** Debates around central bank digital currencies (CBDCs) and their regulatory implications.

Module 15: Practical Application & Capstone Project

- Scenario-based simulations for **Regulatory Compliance** challenges.
- Group exercises in **Ethical Dilemma Resolution**.
- Developing a mini-compliance program for a hypothetical company.
- Peer feedback and expert guidance.
- Personalized action planning for ongoing learning.
- **Case Study:** Participants apply learned concepts to a fictional company facing multiple compliance and ethical issues.

Training Methodology

This course employs a dynamic and interactive training methodology to ensure maximum engagement and knowledge retention. It includes:

- **Instructor-Led Sessions:** Expert-led presentations, discussions, and Q&A.

- **Interactive Workshops:** Group activities, brainstorming sessions, and problem-solving exercises.
- **Real-World Case Studies:** In-depth analysis of actual compliance failures and ethical dilemmas.
- **Scenario-Based Simulations:** Practical application of concepts in realistic business scenarios.
- **Role-Playing:** Hands-on experience in navigating difficult conversations and ethical situations.
- **Expert Guest Speakers:** Insights from industry leaders and regulatory professionals.
- **Online Resources:** Access to supplementary readings, templates, and compliance tools.
- **Blended Learning Approach:** Combining virtual and/or in-person instruction with self-paced learning modules.
- **Pre- and Post-Assessments:** To gauge learning progress and identify areas for improvement.
- **Continuous Feedback:** Opportunities for participants to receive feedback on their understanding and application of concepts.

Register as a group from 3 participants for a Discount

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Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	04 Dec 2026	Online	$2,000
23 Nov 2026	04 Dec 2026	Physical	$3,000
23 Nov 2026	04 Dec 2026	Physical	$6,000
23 Nov 2026	04 Dec 2026	Physical	$6,000
23 Nov 2026	04 Dec 2026	Physical	$12,000
23 Nov 2026	04 Dec 2026	Physical	$9,000
23 Nov 2026	04 Dec 2026	Physical	$12,000
23 Nov 2026	04 Dec 2026	Physical	$12,000

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