Sanctions and Trade Compliance Risk Training Course

Professional Development Training Course Outline

Category	Risk Management	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

The global landscape of international commerce is increasingly regulated, placing significant onus on multinational businesses to navigate a web of economic sanctions, export controls, and import laws. This specialized training course is engineered for professionals operating in the high-stakes world of international trade, finance, and logistics. Sanctions and Trade Compliance Risk Training Course provides a structured, risk-based approach to understanding and mitigating the liabilities associated with non-compliance. Participants will gain mastery over the core concepts of major sanctions regimes, dual-use item controls, and anti-boycott regulations. The program emphasizes the design and implementation of an effective Compliance Management System (CMS), focusing on transaction screening, Enhanced Due Diligence (EDD), and leveraging RegTech solutions. Our goal is to transform compliance from a reactive cost center into a strategic business advantage, ensuring regulatory adherence and protecting the firm's global reputation.

This intensive, hands-on training addresses the escalating penalties and reputational fallout from sanctions and trade compliance failures. Modern compliance extends beyond simple list-matching; it demands a deep understanding of geopolitical shifts, ownership structures (50% Rule), and sophisticated evasion tactics like trade-based money laundering and front companies. We will dissect the current trends, including the impact of China tariffs, the complexities of the Uyghur Forced Labor Prevention Act, and the rapid adoption of AI in compliance. Through real-world case studies and interactive workshops, participants will develop the practical skills necessary to perform comprehensive risk assessments, conduct end-use/end-user checks, and master the art of voluntary self-disclosure (VSD), fostering a pervasive culture of compliance across the organization.

Programme Curriculum

Sanctions and Trade Compliance Risk Training Course

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Course Duration

5 days

Course Objectives

1. Comprehend the scope and extraterritorial reach of major programs and the implications of the 50% Rule.
2. Design and execute a proportionate and scalable compliance program based on geographic, industry, and transaction risk.
3. Apply best practices for screening processes, managing false positives, and utilizing modern screening software.
4. Accurately classify goods, software, and technology under the EAR and ITAR regulations.
5. Conduct thorough investigations to prevent diversion of controlled items to prohibited uses or parties.
6. Identify red flags and sophisticated schemes used for sanctions evasion in international trade finance.
7. Establish the key components of an effective internal control program, including policies and procedures.
8. Apply advanced techniques to vet high-risk third parties, intermediaries, and complex ownership structures.
9. Analyze the impact of current events on corporate compliance obligations.
10. Understand the burden of proof and supply chain due diligence requirements for import compliance.
11. Evaluate and integrate Artificial Intelligence (AI) and Machine Learning (ML) tools for enhanced efficiency in compliance monitoring.
12. Prepare for regulatory audits, understand penalty guidelines, and master the process of Voluntary Self-Disclosure (VSD).
13. Implement effective training and communication strategies to embed ethical conduct throughout the organization.

Target Audience

1. Compliance Officers / Managers (Trade, Sanctions, AML)
2. Legal Counsel / In-House Lawyers (International Trade & Regulatory)
3. Export/Import Managers / Specialists
4. Supply Chain and Procurement Professionals
5. Financial Crime / KYC / CDD Analysts in Financial Institutions
6. Internal Auditors and Risk Managers
7. Senior Management / Directors with compliance oversight responsibility
8. Sales and Business Development personnel

Course Modules

Module 1: The Global Sanctions Landscape

- US Sanctions Regimes (OFAC)
- EU, UN, and HMT Sanctions.
- The 50% Rule.
- Types of Sanctions.
- Case Study: The Microsoft Settlement

Module 2: Export Controls and Dual-Use Items

- Export Administration Regulations (EAR) & ITAR.
- Classification (ECCN) & Licensing.
- Deemed Exports.
- Re-export and Transfer Controls.
- Case Study: Dual-Use Technology Diversion.

Module 3: Risk Assessment and Due Diligence

- Designing the Sanctions/Trade Risk Assessment
- Customer & Third-Party Due Diligence (CDD/TPDD).
- Enhanced Due Diligence (EDD) Triggers.
- Red Flag Indicators.
- Case Study: The HSBC Correspondent Banking Failure.

Module 4: Screening and Monitoring

- Denied Party Screening (DPS) Best Practices.
- Managing False Positives.
- Transaction Screening.
- Post-Screening Monitoring.
- Case Study: Wise Payments Limited Fine.

Module 5: Import and Supply Chain Compliance

- Import Compliance Basics
- Forced Labor and the UFLPA.
- Country of Origin and Marking Rules.
- Customs-Trade Partnership Against Terrorism and Authorized Economic Operator.
- Case Study: Forced Labor Import Detention.

Module 6: Sanctions Evasion Tactics and Red Flags

- Deceptive Shipping Practices.
- Trade Misinvoicing.
- Shell/Front Companies.
- Covert Payment Mechanisms.
- Case Study: British American Tobacco (BAT) Settlement.

Module 7: Building the Compliance Management System (CMS)

- Management Commitment and Culture.
- Internal Controls and Auditing.
- Training and Communication
- Handling Breaches and Remediation.
- Case Study: The Standard Chartered Fine.

Module 8: The Future of Compliance and Enforcement

- RegTech Implementation.
- Geopolitical Hotspots and Trends.
- Enforcement Actions & Penalty Guidelines.
- Voluntary Self-Disclosure (VSD).
- Case Study: The Treasury Department Framework.

Training Methodology

This course employs a participatory and hands-on approach to ensure practical learning, including:

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call +254769199797

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

Ready to enroll or request a customized program? Book online or contact us:

Register Online Now

Email: info@fineskilltrainingcenter.com | Website: www.fineskilltrainingcenter.com