Governance Controls for Operational Risks Training Course

Professional Development Training Course Outline

Category	Corporate Governance	**Duration**	10 Days
Base Fee	$3,000 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

In today’s rapidly evolving business environment, organizations face multifaceted operational risks that can significantly impact financial performance, regulatory compliance, and organizational reputation. Governance Controls for Operational Risks Training Course is designed to equip professionals with the essential knowledge and practical skills required to identify, assess, and mitigate operational risks effectively. This course emphasizes a strategic approach to governance, integrating risk management frameworks, regulatory expectations, and industry best practices. Participants will gain a deep understanding of risk assessment, internal controls, policy enforcement, and reporting mechanisms to strengthen the resilience of their organizations.

The course also focuses on cultivating a proactive risk culture, enhancing decision-making, and promoting accountability at all organizational levels. Through interactive sessions, case studies, and real-world examples, attendees will develop competencies in operational risk monitoring, risk appetite definition, compliance adherence, and continuous improvement initiatives. By the end of the training, participants will be able to implement robust governance controls that align with organizational objectives, regulatory requirements, and global risk management standards, ensuring sustainable business operations and stakeholder confidence.

Programme Curriculum

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Course Objectives

1. Understand the fundamentals of operational risk management and governance frameworks
2. Develop effective internal controls and risk mitigation strategies
3. Analyze and assess operational risks across business functions
4. Implement regulatory compliance measures and reporting mechanisms
5. Enhance organizational risk culture and accountability
6. Apply risk assessment tools and methodologies in real scenarios
7. Identify emerging trends in operational risk management
8. Integrate technology solutions for risk monitoring and reporting
9. Evaluate risk appetite and tolerance levels within organizations
10. Develop policies for operational risk identification and management
11. Conduct risk audits and assurance reviews effectively
12. Utilize key performance indicators (KPIs) to monitor risk management effectiveness
13. Apply lessons learned from case studies to prevent future operational losses

Organizational Benefits

- Strengthened operational resilience and risk awareness
- Improved regulatory compliance and audit readiness
- Enhanced decision-making and governance practices
- Reduced financial and reputational losses due to operational failures
- Standardized risk management processes across departments
- Improved stakeholder trust and confidence
- Increased operational efficiency and control mechanisms
- Better alignment of risk appetite with business strategy
- Strengthened risk reporting and transparency
- Development of a proactive risk management culture

Target Audiences

- Risk Managers and Officers
- Compliance Officers

- Internal Auditors
- Operational Managers
- Business Analysts
- Finance Professionals
- Regulatory Affairs Specialists
- Governance Consultants

Course Duration: 10 days

Course Modules

Module 1: Introduction to Operational Risks

- Definition and types of operational risks
- Key risk indicators (KRIs) for operational risks
- Regulatory expectations and industry standards
- Risk reporting and escalation processes
- Case study: Operational failure in a banking institution
- Practical exercise: Risk mapping

Module 2: Governance Frameworks

- Principles of corporate governance in risk management
- Roles and responsibilities of the board and management
- Governance structures and risk committees
- Policy development and enforcement
- Case study: Governance failure leading to operational loss
- Practical exercise: Designing a governance framework

Module 3: Risk Assessment and Analysis

- Risk identification techniques
- Qualitative vs quantitative risk assessment
- Risk scoring and prioritization
- Scenario analysis and stress testing
- Case study: Risk assessment in a manufacturing company
- Practical exercise: Conducting a risk assessment

Module 4: Internal Controls and Mitigation

- Designing effective internal controls
- Control testing and monitoring
- Operational risk mitigation strategies

- Technology-enabled controls
- Case study: Fraud prevention controls
- Practical exercise: Internal control assessment

Module 5: Regulatory Compliance and Reporting

- Understanding compliance obligations
- Reporting frameworks and requirements
- Internal and external audit coordination
- Regulatory inspections and enforcement actions
- Case study: Compliance breach in a financial institution
- Practical exercise: Preparing a compliance report

Module 6: Risk Appetite and Tolerance

- Defining organizational risk appetite
- Risk tolerance levels across business units
- Linking risk appetite to strategic objectives
- Monitoring deviations from risk limits
- Case study: Exceeding risk tolerance in operations
- Practical exercise: Risk appetite assessment

Module 7: Technology in Risk Management

- Risk management software solutions
- Data analytics and automation
- Operational risk dashboards
- Cyber risk considerations
- Case study: Technology-enabled risk monitoring
- Practical exercise: Implementing risk management tools

Module 8: Building a Risk-Aware Culture

- Importance of risk culture
- Leadership’s role in fostering awareness
- Training and communication strategies
- Incentives and accountability for risk management
- Case study: Cultural shift reducing operational incidents
- Practical exercise: Designing a risk-awareness campaign

Module 9: Key Risk Indicators (KRIs) and Metrics

- Selecting relevant KRIs for monitoring
- KPI development for operational risk
- Data collection and analysis
- Trend monitoring and reporting
- Case study: KRIs in a retail organization
- Practical exercise: KRI dashboard creation

Module 10: Business Continuity Planning

- Integrating risk management with business continuity
- Contingency planning for operational disruptions
- Crisis management protocols
- Testing and updating business continuity plans
- Case study: Operational recovery after a crisis
- Practical exercise: Business continuity plan design

Module 11: Audit and Assurance Reviews

- Planning and conducting operational audits
- Review of control effectiveness
- Audit reporting and follow-ups
- Risk-based audit approaches
- Case study: Internal audit uncovering operational risks
- Practical exercise: Audit simulation

Module 12: Emerging Trends in Operational Risk

- Operational risks in digital transformation
- Cybersecurity and data privacy risks
- ESG (Environmental, Social, Governance) risks
- Industry-specific emerging risks
- Case study: Adapting to emerging operational risks
- Practical exercise: Emerging risk identification

Module 13: Risk Reporting and Communication

- Effective reporting structures
- Communication strategies for stakeholders
- Dashboard and visualization techniques
- Reporting frequency and escalation protocols
- Case study: Improving stakeholder risk communication
- Practical exercise: Risk reporting simulation

Module 14: Lessons from Case Studies

- Analysis of real-life operational failures
- Lessons learned and corrective actions
- Preventing recurrence of risks
- Benchmarking best practices
- Case study: Multinational operational risk incident
- Practical exercise: Case study discussion

Module 15: Continuous Improvement in Risk Management

- Monitoring and reviewing risk management processes
- Incorporating feedback for process enhancement
- Benchmarking and performance measurement
- Continuous learning and adaptation
- Case study: Operational risk maturity improvement
- Practical exercise: Risk process improvement plan

Training Methodology

- Interactive lectures and presentations
- Real-world case studies and group discussions
- Practical exercises and risk simulations
- Scenario analysis and role-playing
- Technology-enabled risk monitoring demonstrations
- Continuous feedback and Q&A sessions

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.
f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	04 Dec 2026	Online	$2,000
23 Nov 2026	04 Dec 2026	Physical	$3,000
23 Nov 2026	04 Dec 2026	Physical	$6,000
23 Nov 2026	04 Dec 2026	Physical	$6,000
23 Nov 2026	04 Dec 2026	Physical	$12,000
23 Nov 2026	04 Dec 2026	Physical	$9,000
23 Nov 2026	04 Dec 2026	Physical	$12,000
23 Nov 2026	04 Dec 2026	Physical	$12,000

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