Fintech Risk & Compliance Training Course

Professional Development Training Course Outline

Category	Capital Markets and Investment	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

The Fintech industry is evolving at an unprecedented pace, driven by technological innovation, regulatory developments, and increasing customer expectations. Organizations operating within this dynamic landscape face complex risks related to cybersecurity, fraud, regulatory compliance, operational efficiency, and data privacy. Fintech Risk & Compliance Training Course is meticulously designed to equip professionals with advanced knowledge and practical skills to identify, assess, and mitigate these risks, while ensuring compliance with international financial regulations. This course emphasizes the integration of technology-driven risk management solutions with regulatory frameworks, enhancing both organizational resilience and operational excellence.

Participants will gain insights into global regulatory trends, emerging fintech risks, and strategic compliance frameworks that enable sustainable growth. Through a combination of interactive case studies, scenario analysis, and hands-on exercises, learners will understand how to develop risk-based controls, implement robust compliance strategies, and foster a culture of accountability. The course is highly suitable for risk management professionals, compliance officers, financial analysts, and executives aiming to strengthen governance, reduce exposure to operational threats, and drive digital innovation in a secure and compliant manner.

Programme Curriculum

Fintech Risk & Compliance Training Course

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Course Objectives

1. Understand the fundamentals of fintech risk management and compliance frameworks.
2. Analyze regulatory requirements in global and local fintech markets.
3. Identify emerging threats in digital payments, lending, and blockchain technologies.
4. Develop effective anti-money laundering (AML) and counter-terrorism financing (CTF) strategies.
5. Evaluate cybersecurity risks and implement robust control mechanisms.
6. Apply risk-based approaches to operational, credit, and market risks in fintech.
7. Design compliance monitoring and reporting systems for regulatory adherence.
8. Explore digital identity management and KYC procedures for fintech platforms.
9. Assess the impact of evolving regulations on fintech product development.
10. Integrate AI and data analytics for proactive risk detection and mitigation.
11. Enhance internal audit and risk governance processes within fintech organizations.
12. Implement fraud detection strategies and real-time transaction monitoring.
13. Conduct scenario-based risk assessments to support strategic decision-making.

Organizational Benefits

- Strengthened compliance culture and regulatory adherence.
- Reduced operational, cybersecurity, and fraud-related risks.
- Improved risk reporting and governance frameworks.
- Enhanced decision-making through data-driven risk insights.
- Increased trust with clients, investors, and regulators.
- Streamlined AML and CTF processes.

- Optimized internal controls and audit readiness.
- Better alignment with industry best practices.
- Increased resilience against financial crimes.
- Support for sustainable digital innovation strategies.

Target Audiences

- Risk Management Professionals
- Compliance Officers
- Fintech Executives and Managers
- Financial Analysts
- Auditors and Internal Control Specialists
- Cybersecurity Professionals
- Legal and Regulatory Advisors
- Digital Transformation Specialists

Course Duration: 5 days

Course Modules

Module 1: Introduction to Fintech Risk & Compliance

- Overview of fintech ecosystem and regulatory landscape
- Types of fintech risks: operational, credit, market, and compliance
- Risk governance and internal controls
- Regulatory compliance frameworks: global and local perspectives
- Interactive case study: real-world compliance challenges
- Practical exercise: risk mapping for fintech products

Module 2: Regulatory Compliance & Legal Frameworks

- Understanding key financial regulations and directives
- Licensing and registration requirements
- AML, CTF, and KYC obligations
- Regulatory reporting and documentation standards
- Case study: implementing compliance in cross-border fintech
- Group exercise: compliance checklist creation

Module 3: Cybersecurity & Digital Risk Management

- Cyber threats in fintech: hacking, phishing, and malware
- Data privacy regulations and GDPR/CCPA compliance
- Implementing cybersecurity controls and monitoring systems
- Risk mitigation strategies for digital platforms
- Case study: cybersecurity incident response
- Practical exercise: building a cybersecurity risk matrix

Module 4: Fraud Detection & Prevention

- Understanding fintech fraud patterns and typologies
- Real-time transaction monitoring and alerts
- AI-driven fraud detection tools
- Employee and client awareness programs
- Case study: detecting and preventing digital fraud
- Hands-on exercise: fraud risk assessment

Module 5: Risk Assessment & Management Techniques

- Conducting operational, market, and credit risk assessments
- Risk measurement methodologies and KPIs
- Scenario analysis and stress testing
- Reporting and decision-making frameworks
- Case study: implementing enterprise risk management
- Practical exercise: developing risk mitigation plans

Module 6: AML & Counter-Terrorism Financing

- AML and CTF principles and frameworks
- Customer due diligence and enhanced monitoring
- Risk-based compliance programs
- Reporting suspicious activities
- Case study: AML compliance in digital lending
- Simulation exercise: risk-based KYC implementation

Module 7: Emerging Technologies & Fintech Innovation Risks

- Blockchain, crypto-assets, and digital wallets
- AI, machine learning, and predictive risk analytics
- Regulatory considerations for innovative fintech solutions
- Managing operational risks in technology adoption
- Case study: risk assessment in blockchain applications
- Practical workshop: technology risk evaluation

Module 8: Compliance Monitoring & Reporting

- Designing monitoring and internal audit processes
- Key risk indicators (KRIs) and compliance metrics
- Reporting frameworks for regulators and stakeholders
- Continuous improvement of compliance programs
- Case study: regulatory inspection readiness
- Hands-on exercise: building a compliance dashboard

Training Methodology

- Interactive lectures with industry experts
- Case studies based on real fintech organizations
- Scenario-based risk management exercises
- Hands-on workshops with practical applications
- Group discussions and problem-solving sessions
- Simulation exercises for compliance and fraud detection

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

Ready to enroll or request a customized program? Book online or contact us:

Register Online Now

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