Audit Planning & Execution Training Course

Professional Development Training Course Outline

Category	ACCOUNTING & FINANCE	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

Modern organizations operate in an increasingly complex business environment characterized by regulatory pressure, digital transformation, enterprise risk management demands, cybersecurity exposure, corporate governance expectations, and global compliance requirements. Effective audit planning and execution have become critical components of organizational success, helping institutions strengthen internal controls, improve operational efficiency, ensure regulatory compliance, enhance financial transparency, and support strategic decision-making. Audit Planning & Execution Training Course equips professionals with practical audit methodologies, risk-based auditing techniques, audit evidence collection skills, audit documentation practices, and modern audit execution frameworks aligned with international auditing standards and best practices.

The course provides participants with practical exposure to audit lifecycle management, audit risk assessment, internal control evaluation, audit reporting, fraud detection, compliance auditing, data-driven auditing, and performance auditing techniques. Participants will gain hands-on knowledge in developing audit plans, conducting fieldwork, analyzing audit findings, preparing professional audit reports, and implementing corrective action recommendations. The training integrates trending global audit concepts such as digital auditing, governance risk and compliance (GRC), continuous auditing, forensic audit techniques, ESG auditing, cybersecurity audits, and data analytics for audit effectiveness to ensure organizations remain resilient, compliant, and competitive in the modern business landscape.

Programme Curriculum

Audit Planning & Execution Training Course

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Course Objectives

1. Understand modern audit planning frameworks and risk-based auditing methodologies.
2. Develop strategic audit plans aligned with corporate governance and compliance requirements.
3. Strengthen internal control assessment and enterprise risk management capabilities.
4. Apply international auditing standards and best practices in audit execution.
5. Conduct effective audit fieldwork using digital audit tools and data analytics.
6. Enhance fraud risk assessment and forensic auditing competencies.
7. Improve audit documentation, evidence gathering, and audit trail management.
8. Build skills in compliance auditing, operational auditing, and financial auditing.
9. Learn effective audit reporting and stakeholder communication strategies.
10. Utilize data-driven auditing and continuous monitoring techniques for audit efficiency.
11. Improve audit quality assurance and audit performance measurement practices.
12. Strengthen cybersecurity audit and information systems audit capabilities.
13. Develop corrective action tracking and audit recommendation implementation strategies.

Organizational Benefits

- Improved corporate governance and accountability structures.
- Enhanced compliance with regulatory and statutory requirements.
- Stronger internal controls and risk management systems.
- Increased operational efficiency and process optimization.
- Improved fraud prevention and fraud detection mechanisms.
- Enhanced financial transparency and reporting accuracy.
- Better decision-making through reliable audit insights.
- Reduced organizational risks and compliance exposure.
- Strengthened cybersecurity and information security assurance.
- Increased stakeholder confidence and organizational credibility.

Target Audiences

- Internal Auditors
- External Auditors
- Finance Managers
- Risk Management Professionals
- Compliance Officers
- Internal Control Officers
- Governance and Assurance Professionals
- Senior Managers and Departmental Heads

Course Duration: 5 days

Course Modules

Module 1: Fundamentals of Audit Planning and Execution

- Principles and concepts of internal and external auditing.
- Audit lifecycle management and audit engagement processes.
- International standards for auditing and professional ethics.
- Roles and responsibilities of auditors in modern organizations.
- Risk-based audit planning and audit scope definition.
- Global Case Study: Implementing risk-based audit frameworks in multinational organizations.

Module 2: Risk Assessment and Internal Control Evaluation

- Enterprise risk management and audit risk assessment techniques.
- Identification and evaluation of operational and financial risks.
- Internal control systems and control environment assessment.
- Techniques for testing control effectiveness and compliance.
- Risk prioritization and audit resource allocation strategies.
- Global Case Study: Internal control failures and lessons from global corporate scandals.

Module 3: Audit Planning Strategies and Audit Programs

- Developing strategic and annual audit plans.
- Preparing audit programs and audit schedules.
- Audit objectives, criteria, scope, and resource planning.
- Materiality assessment and audit sampling techniques.
- Audit budgeting and time management for audit engagements.
- Global Case Study: Developing effective audit plans in public sector institutions.

Module 4: Audit Fieldwork and Evidence Collection

- Conducting audit interviews and field investigations.
- Techniques for gathering reliable audit evidence.
- Observation, inspection, inquiry, and analytical review procedures.
- Audit working papers and documentation standards.
- Managing audit evidence using digital audit systems.
- Global Case Study: Evidence collection practices in international financial audits.

Module 5: Fraud Detection and Compliance Auditing

- Fraud risk indicators and fraud prevention controls.
- Forensic auditing and investigative audit techniques.
- Compliance audits and regulatory audit requirements.
- Anti-money laundering audits and ethics compliance reviews.
- Detecting financial misstatements and irregular transactions.
- Global Case Study: Fraud investigation approaches in global financial institutions.

Module 6: Data Analytics and Technology in Auditing

- Introduction to audit analytics and digital auditing tools.
- Continuous auditing and real-time monitoring systems.
- Data visualization and audit reporting dashboards.
- Cybersecurity audits and information systems auditing.
- Leveraging artificial intelligence and automation in auditing.
- Global Case Study: Digital transformation in modern audit functions.

Module 7: Audit Reporting and Corrective Action Management

- Preparing professional audit reports and executive summaries.
- Writing audit findings, conclusions, and recommendations.
- Communicating audit outcomes to management and stakeholders.
- Corrective action planning and follow-up audit reviews.
- Measuring audit effectiveness and audit performance indicators.
- Global Case Study: Enhancing organizational performance through audit recommendations.

Module 8: Audit Quality Assurance and Best Practices

- Audit quality control and assurance frameworks.
- Ethical considerations and auditor independence requirements.
- Benchmarking audit functions against global best practices.

- Managing audit challenges and stakeholder expectations.
- Building high-performing audit teams and audit leadership skills.
- Global Case Study: Audit quality improvement initiatives in leading global organizations.

Training Methodology

- Instructor-led interactive training sessions.
- Practical audit planning and execution exercises.
- Real-world case studies and scenario analysis.
- Group discussions and collaborative learning activities.
- Audit simulations and hands-on workshops.
- Risk assessment and audit reporting practice sessions.
- Digital auditing demonstrations and analytics exercises.
- Experience sharing and peer-to-peer learning sessions.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.
f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

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